

U.S. Department of Energy
SURVEY/INSPECTION REPORT FORM

1. Survey Type: <input type="checkbox"/> Initial <input type="checkbox"/> Periodic <input type="checkbox"/> Special <input type="checkbox"/> Termination <input type="checkbox"/> EPR <input type="checkbox"/> NPR <input type="checkbox"/> OA		2. Report #
3. Facility Name:		4. a. Facility Code: b. RIS Code:
5. Survey Date(s):	6. a. Findings: <input type="checkbox"/> Yes <input type="checkbox"/> No b. Findings Against Other Facilities:	7. Composite Rating:
8. Previous Survey Date(s):	9. Unresolved Findings: <input type="checkbox"/> Yes <input type="checkbox"/> No	10. Previous Rating:
11a. Surveying Office:	11b. Cognizant Security Office:	11c. Other Offices with Interests:
<div style="display: flex;"><div style="flex: 1; padding-right: 10px;"><p>12. Ratings:</p><p>a) PROGRAM MANAGEMENT AND SUPPORT</p><p>PROTECTION PROGRAM MANAGEMENT _____</p><p>Program Management and Administration _____</p><p>Resources and Budgeting _____</p><p>Personnel Development and Training _____</p><p>S&S PLANNING AND PROCEDURES _____</p><p>MANAGEMENT CONTROL _____</p><p>Surveys and Self Assessment Programs _____</p><p>Performance Assurance Program _____</p><p>Resolution of Findings _____</p><p>Incident Reporting and Management _____</p><p>PROGRAM WIDE SUPPORT _____</p><p>Facility Approval and Registration of Activities _____</p><p>Foreign Ownership, Control or Influence _____</p><p>Security Management in Contracting _____</p><p style="text-align: right;">OVERALL RATING _____</p><p>b) PROTECTIVE FORCE</p><p>MANAGEMENT _____</p><p>TRAINING _____</p><p>DUTIES _____</p><p>FACILITIES AND EQUIPMENT _____</p><p style="text-align: right;">OVERALL RATING _____</p><p>c) PHYSICAL SECURITY</p><p>ACCESS CONTROLS _____</p><p>INTRUSION DETECTION & ASSESSMENT SYSTEMS _____</p><p>BARRIERS AND DELAY MECHANISMS _____</p><p>TESTING AND MAINTENANCE _____</p><p>COMMUNICATIONS _____</p><p style="text-align: right;">OVERALL RATING _____</p><p>d) INFORMATION PROTECTION</p><p>BASIC REQUIREMENTS _____</p><p>TECHNICAL SURVEILLANCE COUNTERMEASURES _____</p><p>OPERATIONS SECURITY _____</p><p>CLASSIFICATION GUIDANCE _____</p><p>CLASSIFIED MATTER PROTECTION & CONTROL _____</p><p>Control of Classified Matter _____</p><p>Special Access Programs and Intelligence Information _____</p><p style="text-align: right;">OVERALL RATING _____</p></div><div style="flex: 1;"><p>e) CYBER SECURITY</p><p>CLASSIFIED CYBER SECURITY _____</p><p>Leadership, Responsibilities and Authorities _____</p><p>C&A, Risk Management and Planning _____</p><p>Policy, Guidance and Procedures _____</p><p>Technical Implementation _____</p><p>Performance Eval Feedback & Continuous Improvement _____</p><p>TELECOMMUNICATIONS SECURITY _____</p><p>UNCLASSIFIED CYBER SECURITY _____</p><p>Leadership, Responsibilities and Authorities _____</p><p>C&A Risk Management and Planning _____</p><p>Policy, Guidance and Procedures _____</p><p>Technical Implementation _____</p><p>Performance Eval Feedback & Continuous Improvement _____</p><p style="text-align: right;">OVERALL RATING _____</p><p>f) PERSONNEL SECURITY PROGRAM</p><p>ACCESS AUTHORIZATIONS _____</p><p>HUMAN RELIABILITY PROGRAM _____</p><p>CONTROL OF CLASSIFIED VISITS _____</p><p>SAFEGUARDS AND SECURITY AWARENESS _____</p><p style="text-align: right;">OVERALL RATING _____</p><p>g) UNCLASSIFIED VISITS & ASSIGNMENTS BY FOREIGN NATIONALS</p><p>SPONSOR PROGRAM MANAGEMENT & ADMIN _____</p><p>COUNTERINTELLIGENCE REQUIREMENTS _____</p><p>EXPORT CONTROLS/TECH TRANSFER REQUIREMENTS _____</p><p>SECURITY REQUIREMENTS _____</p><p>APPROVALS AND REPORTING _____</p><p style="text-align: right;">OVERALL RATING _____</p><p>h) NUCLEAR MATERIALS CONTROL & ACCOUNTABILITY</p><p>PROGRAM ADMINISTRATION _____</p><p>MATERIAL ACCOUNTABILITY _____</p><p>MATERIALS CONTROL _____</p><p style="text-align: right;">OVERALL RATING _____</p></div></div>		
13. Report Prepared by: Date:		14. Report Approved by: Date:
15. Distribution:		
16. General Comments:		